

EXHIBIT "1"

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5 Attorneys for David A. Gill, Receiver

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8 **UNITED STATES DISTRICT COURT**
9 **CENTRAL DISTRICT OF CALIFORNIA**

10
11 SECURITIES AND EXCHANGE
COMMISSION,

12 Plaintiff,

13 vs.

14 WESTMOORE MANAGEMENT,
15 LLC; WESTMOORE INVESTMENT,
LP.; WESTMOORE CAPITAL
16 MANAGEMENT, INC.;
WESTMOORE CAPITAL, LLC; and
17 MATTHEW R. JENNINGS,

18 Defendants.

Case No. 8:10-cv-00849-AG (MLGx)

**ORDER GRANTING RECEIVER'S
MOTION FOR (1) APPROVAL OF
FINAL REPORT AND ACCOUNT,
(2) APPROVAL OF FINAL
COMPENSATION OF THE
RECEIVER AND HIS ATTORNEYS
AND ACCOUNTANTS,
(3) AUTHORITY TO DISBURSE
REMAINING FUNDS ON A PRO
RATA BASIS, (4) DISCHARGE OF
THE RECEIVER, AND
(5) EXONERATION OF THE
RECEIVER'S BOND**

Date: August 5, 2019
Time: 10:00 a.m.
Place: Courtroom 10D
411 West Fourth Street
Santa Ana, California

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23 On August 5, 2019, the Court heard and considered the *Receiver's Final*
24 *Report and Account and Motion for (1) Approval of Final Report and Account,*
25 *(2) Approval of Final Compensation of the Receiver and His Attorneys and*
26 *Accountants, (3) Authority to Disburse Remaining Funds on a Pro Rata Basis,*
27 *(4) Discharge of the Receiver, and (5) Exoneration of the Receiver's Bond* (the
28 "Final Report") filed by David A. Gill, Receiver, the Honorable Andrew J. Gilford,

1 United States District Court Judge, presiding. Appearances were as noted on the
2 record at the hearing.

3 The Court having considered the Final Report (*docket no. 283*), the
4 declarations of David A. Gill, John N. Tedford, IV, and Susan P. Tomlinson (*docket*
5 *nos. 284-286*), and the Receiver's separate notice of the Final Report (*docket no.*
6 *287*), and having heard the statements of counsel at the hearing, for good cause
7 appearing, it is

8 **ORDERED THAT:**

9 1. The Final Report is approved.

10 2. The receivership imposed by this Court's *Judgment of Permanent*
11 *Injunction, Appointment of Permanent Receiver, and Imposing Other Relief As to*
12 *Defendants Westmoore Management, LLC; Westmoore Investment, L.P.; Westmoore*
13 *Capital Management, Inc.; and Westmoore Capital, LLC*, entered on August 12,
14 2011, is hereby terminated.

15 3. David A. Gill (the "Receiver") is hereby discharged from all further
16 duties, liabilities and responsibilities as the court-appointed receiver in this action.

17 4. On a final basis, for services rendered during this case, the Receiver is
18 awarded \$211,296.00 of fees and \$4,074.25 of costs. This includes \$9,957.00 of fees
19 and \$84.41 of costs incurred from November 1, 2018, through the close of the case.

20 5. On a final basis, for services rendered during this case, Danning, Gill,
21 Diamond & Kollitz, LLP ("DGDK"), is awarded \$1,354,408.50 of fees and
22 \$30,055.79 of costs. This includes \$90,450.00 of fees and \$2,038.27 of costs
23 incurred from November 1, 2018, through the close of the case.

24 6. On a final basis, for services rendered during this case, and pursuant to
25 the terms of the engagement agreement approved by this Court's order entered on
26 August 6, 2012 (*docket no. 129*), Castillo Snyder, PC, is awarded \$752,109.44 of
27 fees and \$62,818.16 of costs.

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1 7. On a final basis, for services rendered during this case, Crowe Horwath
2 LLP (“Crowe”), is awarded \$565,349.50 of fees and \$932.28 of costs. This includes
3 \$21,283.50 of fees and \$25.27 of costs incurred from November 1, 2018, through the
4 close of the case.

5 8. On a final basis, for services rendered during this case as the Receiver’s
6 forensic analyst, investigator and expert witness, PCG Consulting, PC, is awarded
7 \$482,588.95 of fees and \$356.00 of costs.

8 9. The Receiver is hereby authorized to distribute remaining funds in the
9 receivership estate to the Receiver, DGDK and Crowe, on a pro rata basis.

10 10. The Court finds that all the Receiver’s acts and transactions during his
11 administration of the receivership estate, including the actions of his employees and
12 agents, were right and proper and in the best interests of the receivership estate and
13 the parties to this action. All such acts and transactions are ratified, confirmed and
14 approved.

15 11. The Receiver and the receivership estate are hereby released from any
16 and all liability for any and all claims, demands or causes of action that may have
17 directly or indirectly arisen from the receivership estate prior to, during, or after the
18 receivership period.

19 12. The Court hereby determines that the Receiver has no further duty or
20 obligation to prepare or file further tax returns, or pay further taxes, on behalf of the
21 receivership estate.

22 13. The Receiver is authorized to abandon remaining assets of the
23 receivership estate, and any and all such assets shall be deemed abandoned upon
24 entry of this order.

25 14. Any bond posted by the Receiver is hereby released from liabilities and
26 the sureties thereon are hereby exonerated.

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1 15. The Court hereby reserves exclusive jurisdiction over any and all claims
2 that may be asserted against the Receiver and his professionals and employees for
3 their services, and all issues that were part of the subject matter of the receivership
4 estate.
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6 DATED: August ____, 2019
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ANDREW J. GUILFORD
UNITED STATES DISTRICT JUDGE

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EXHIBIT "2"

RECEIPTS DURING THE REPORTING PERIOD:

Regular collections (including notes receivable)	
-- Settlement with Hendricksons (re HW Partners)	\$249,630.67
-- Settlement with Hendricksons (re Huntley)	\$0.00
-- Settlement with Hendricksons (re Wylie)	\$50,000.00
	<hr/>
	\$299,630.67

Payments by or OBO Jennings re SEC judgment	\$244,926.57
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Cash and securities	
-- Cash recovered from deposit accounts	\$4,243.54
-- Cash received from liquidation of brokerage accounts	\$35,536.25
-- Cash proceeds from sale of MHS Capital (net)	\$78,390.50
-- Distribution from MKA	\$5,499.62
	<hr/>
	\$123,669.91

Sales of real property	
-- Belmont Lofts unit 406 (gross = \$165,000)	\$124,202.63
-- Belmont Lofts unit 409 (gross = \$175,000)	\$152,415.53
-- Belmont Lofts unit 411 (gross = \$170,000)	\$138,901.58
-- Tahoe City residence	\$30,000.00
	<hr/>
	\$445,519.74

Sales of personal property (other than securities)	\$0.00
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Collections from litigation (gross)	
-- Confidential settlement with former professional	\$275,000.00
-- Confidential settlement with former employee	\$35,000.00
-- Confidential settlement with former investor	\$500,000.00
-- Settlement with Bickford	\$17,500.00
-- Settlement with Blessing / W2	\$100,000.00
-- Settlement with D Braun	\$85,000.00
-- Settlement with Brod	\$14,980.00
-- Settlement with Egigian	\$25,000.00
-- Settlement with Goodrich	\$10,000.00
-- Settlement with Hernandez / Empire Family	\$27,500.00
-- Settlement with Linsley	\$80,000.00
-- Settlement with Molenaar	\$2,000.00
-- Settlement with Olshansky	\$65,000.00
-- Settlement with Shlimovich / Weinberg / IJL	\$1,200,000.00
-- Settlement with Villanueva	\$50,000.00
-- Judgment against Chin Wang	\$0.00
-- Judgment against Matthew Jennings	\$0.00
	<hr/>
	\$2,486,980.00

Miscellaneous - Other	
-- Interest income	\$23.69
-- Reimbursement of discovery costs	\$3,060.00
-- Income tax refunds	\$1,681.32
	<hr/>
	\$4,765.01

TOTAL RECEIPTS DURING THE REPORTING PERIOD	\$3,605,491.90
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Exhibit 2

DISBURSEMENTS DURING THE REPORTING PERIOD:

Regular expenses

-- Non-litigation subpoenas and document requests	\$3,834.19	
-- Retrieval and storage of books and records (File Keepers)	\$7,259.40	
-- Retrieval and storage of books and records (Iron Mountain)	\$2,793.32	
-- Retrieval and storage of books and records (L.A. Storage)	\$6,880.00	
-- Retrieval and storage of books and records (Remington)	\$10,156.97	
-- Server storage and related costs (Nextech)	\$13,906.63	
-- Concordance subscription	\$19,642.00	
-- Federal and state income taxes	\$7,200.00	
-- Other expenses	\$731.66	
	<u> </u>	\$72,404.17

Bank Service Fees

-- Bank of America (Oct. 2011 - Sep. 2012)	\$4,470.00	
-- Associated Bank (Oct. 2012 - Mar. 2015)	\$16,251.96	
-- Union Bank (Apr. 2015 - present)	\$46,089.12	
	<u> </u>	\$66,811.08

Third-Party Litigation Expenses

-- Costs to produce WM records	\$1,043.25	
-- Contingency fees (Castillo Snyder)	\$752,109.44	
-- Costs of litigation (Castillo Snyder)	\$36,801.77	
-- Costs re litigation (Cooper Law Firm)	\$7,101.84	
-- Costs re litigation (expert witness fees and costs)	\$10,478.80	
-- Costs re litigation (paid directly to vendors)	\$8,435.75	
-- Payments to De Teresa per settlement	\$105,769.42	
	<u> </u>	\$921,740.27

Disbursements to Receiver, professionals & field representative

-- Disbursements to Receiver (fees)	\$185,712.41	
-- Disbursements to Receiver (costs)	\$3,989.84	
-- Disbursements to Receiver's general counsel (fees)	\$1,165,858.47	
-- Disbursements to Receiver's general counsel (costs)	\$28,017.52	
-- Disbursements to Receiver's tax accountants (fees)	\$501,839.23	
-- Disbursements to Receiver's tax accountants (costs)	\$907.01	
-- Disbursements to Receiver's forensic analyst (fees)	\$482,588.95	
-- Disbursements to Receiver's forensic analyst (costs)	\$356.00	
-- Disbursements to field representative (fees & costs)	\$124,259.00	
	<u> </u>	\$2,493,528.43

TOTAL DISBURSEMENTS DURING THE REPORTING PERIOD**\$3,554,483.95****ENDING CASH BALANCE (AS OF 6/21/19)****\$51,007.95**

EXHIBIT "3"

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Blanket Bond (per case limit):

Separate Bond (if applicable):

Taxpayer ID No: XX-XXX0295

For Period Ending: 06/17/2019

1	2	3	4	5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Disbursements (\$)	Account/CD Balance (\$)
03/27/15		Transfer from Acct # xxxxxx4938	Transfer of Funds	9999-00		\$1,101,309.92
04/10/15	5001	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #407568	6990-00	\$85.80	\$1,101,224.12
04/10/15	5002	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR FEBRUARY 2015 AND APRIL 2015, INVOICE #S 2913 AND 3015	6990-00	\$400.00	\$1,100,824.12
04/27/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00	\$264.01	\$1,100,560.11
05/07/15	5003	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #410361	6990-00	\$85.80	\$1,100,474.31
05/07/15	5004	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR MAY 2015, INVOICE #3052	6990-00	\$200.00	\$1,100,274.31
05/15/15	5005	JOAQUIN DE TERESA C/O CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78205-3789	REIMBURSEMENT OF FUNDS ADVANCED FOR LITIGATION RELATING TO CONFIDENTIAL SETTLEMENT	6990 -00	\$2,250.00	\$1,098,024.31
05/15/15	5006	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY FEE ON SETTLEMENTS RECEIVED 2/2/15, 2/9/15 AND 3/12/15 TOTALLING \$15,000	6210-00	\$3,750.00	\$1,094,274.31
05/26/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00	\$1,583.69	\$1,092,690.62

Page Subtotals:

\$8,619.30

\$1,101,309.92

Exhibit 3

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
06/02/15	5007	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR JUNE 2015, INVOICE #3072	6990-00		\$200.00	\$1,092,490.62
06/16/15	5008	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #413130	6990-00		\$85.80	\$1,092,404.82
06/25/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,635.05	\$1,090,769.77
07/06/15	5009	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR JULY 2015, INVOICE #3072	6990-00		\$200.00	\$1,090,569.77
07/07/15	5010	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #407568	6990-00		\$85.80	\$1,090,483.97
07/27/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,571.15	\$1,088,912.82
07/31/15	10	CONFIDENTIAL SETTLEMENT	JULY PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$1,093,912.82
08/07/15	5011	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR AUGUST 2015, INVOICE #3165	6990-00		\$200.00	\$1,093,712.82
08/07/15	5012	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #418744	6990-00		\$85.80	\$1,093,627.02

Page Subtotals:

\$5,000.00

\$4,063.60

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
08/10/15	10	CONFIDENTIAL SETTLEMENT	AUGUST PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$1,098,627.02
08/14/15	5013	MATTHEW BENDER & CO., INC. P.O. BOX 7427-0178 PHILADELPHIA, PA 19170-0178	E-DISCOVERY FOR PERIOD 9/2015 THROUGH 8/2016, INVOICE #7385218X	6990-00		\$3,008.00	\$1,095,619.02
08/25/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,620.77	\$1,093,998.25
08/31/15	10	CONFIDENTIAL SETTLEMENT	SEPTEMBER PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$1,098,998.25
09/16/15	5014	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES PER INVOICE #421598	6990-00		\$85.80	\$1,098,912.45
09/16/15	5015	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR SEPTEMBER 2015, INVOICE #3197	6990-00		\$200.00	\$1,098,712.45
09/25/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,629.18	\$1,097,083.27
09/29/15	10	CONFIDENTIAL SETTLEMENT	OCTOBER PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$1,102,083.27
10/07/15	5016	FILE KEEPERS LLC 6227 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #418744	6990-00		\$85.80	\$1,101,997.47

Page Subtotals:

\$15,000.00

\$6,629.55

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
10/12/15	5017	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR OCTOBER 2015, INVOICE #3165	6990-00		\$200.00	\$1,101,797.47
10/16/15	5018	DAVID A. GILL 1900 Avenue of the Stars, 11th Floor Los Angeles, CA 90067-4402	RECEIVER FEES PER ORDER ENTERED 10/14/15	6101 -00		\$91,530.50	\$1,010,266.97
10/16/15	5019	DAVID A. GILL 1900 Avenue of the Stars, 11th Floor Los Angeles, CA 90067-4402	RECEIVER EXPENSES PER ORDER ENTERED 10/14/15	6102-00		\$2,065.54	\$1,008,201.43
10/16/15	5020	DANNING GILL DIAMOND & KOLLITZ LLP 1900 AVE OF THE STARS, 11TH FLOOS ANGELES, CA 90067	ATTORNEYS FOR RECEIVER - FEES PER ORDER ENTERED 10/14/15 (80% OF ALLOWED FEES OF \$433,139)	6110-00		\$346,511.20	\$661,690.23
10/16/15	5021	DANNING GILL DIAMOND & KOLLITZ LLP 1900 AVE OF THE STARS, 11TH FLOOS ANGELES, CA 90067	ATTORNEYS FOR RECEIVER - EXPENSES PER ORDER ENTERED 10/14/15	6120-00		\$9,239.81	\$652,450.42
10/16/15	5022	PCG CONSULTANTS C/O TED PHELPS 6220 E. MANDALAY LANE SPOKANE, WA 99217	FORENSIC ANALYST, INVESTIGATOR AND EXPERT WITNESS - FEES PER ORDER ENTERED 10/14/15	3991-00		\$344,384.75	\$308,065.67
10/16/15	5023	PCG CONSULTANTS C/O TED PHELPS 6220 E. MANDALAY LANE SPOKANE, WA 99217	FORENSIC ANALYST, INVESTIGATOR AND EXPERT WITNESS - EXPENSES PER ORDER ENTERED 10/14/15	3992 -00		\$356.00	\$307,709.67
10/16/15	5024	CROWE HORWATH LLP ATTN: SUE TOMLINSON 15233 VENTURA BLVD. 9TH FLOOR SHERMAN OAKS, CA 91403	ACCOUNTANTS FOR RECEIVER - FEES PER ORDER ENTERED 10/14/15	6410 -00		\$12,728.50	\$294,981.17
10/16/15	5025	CROWE HORWATH LLP ATTN: SUE TOMLINSON 15233 VENTURA BLVD. 9TH FLOOR SHERMAN OAKS, CA 91403	ACCOUNTANTS FOR RECEIVER - EXPENSES PER ORDER ENTERED 10/14/15	6420-00		\$98.16	\$294,883.01

Page Subtotals:

\$0.00

\$807,114.46

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
10/20/15	10	CONFIDENTIAL SETTLEMENT	NOVEMBER PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$299,883.01
10/26/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,580.66	\$298,302.35
11/05/15	5026	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #427261	6990-00		\$85.80	\$298,216.55
11/06/15	5027	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR NOVEMBER 2015, INVOICE #3289	6990-00		\$200.00	\$298,016.55
11/09/15	36	Paul N. Weinberg 10560 Wilshire Blvd., No. 2004 Los Angeles, CA 90024-7315	PAYMENT ON SETTLEMENT WITH WEINBERG, SHLIMOVICH AND INGLEWOOD JEWELRY & LOAN, INC.	1249-00	\$175,000.00		\$473,016.55
11/09/15	36	YAKOV SHLIMOVICH	FINAL SETTLEMENT PAYMENT RE WEINBERG, SHLIMOVICH AND INGLEWOOD JEWELRY & LOAN, INC.	1249-00	\$525,000.00		\$998,016.55
11/25/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,080.64	\$996,935.91
11/30/15	10	CONFIDENTIAL SETTLEMENT	DECEMBER PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$1,001,935.91

Page Subtotals:

\$710,000.00

\$2,947.10

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
12/03/15	5029	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY FEE ON SETTLEMENTS RECEIVED 7/31/15, 8/10/15, 8/31/15, 9/29/15, 10/20/15, 11/30/15, 11/9/15 TOTALLING \$730,000 Reversal INCORRECT AMOUNT	6210-00		(\$244,711.71)	\$1,246,647.62
12/03/15	5028	JOAQUIN DE TERESA C/O CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78205-3789	REIMBURSEMENT OF FUNDS ADVANCED FOR LITIGATION RELATING TO CONFIDENTIAL SETTLEMENT	6990 -00		\$6,750.00	\$1,239,897.62
12/03/15	5029	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY FEE ON SETTLEMENTS RECEIVED 7/31/15, 8/10/15, 8/31/15, 9/29/15, 10/20/15, 11/30/15, 11/9/15 TOTALLING \$730,000	6210-00		\$244,711.71	\$995,185.91
12/03/15	5030	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY COSTS RELATING TO SETTLEMENTS RECEIVED 7/31/15, 8/10/15, 8/31/15, 9/29/15, 10/20/15, 11/30/15, 11/9/15 TOTALLING \$730,000	6220-00		\$577.48	\$994,608.43
12/03/15	5031	THE COOPER LAW FIRM, P.C. 2030 MAIN STREET, SUITE 1300 IRVINE, CA 92614	REIMBURSEMENT OF COSTS ADVANCED ON BEHALF OF CASTILLO SNYDER, APC FOR LITIGATION	6220 -00		\$246.21	\$994,362.22
12/03/15	5032	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY FEES RELATING TO SETTLEMENTS RECEIVED 7/31/15, 8/10/15, 8/31/15, 9/29/15, 10/20/15, 11/30/15, 11/9/15 TOTALLING \$730,000	6210 -00		\$252,211.71	\$742,150.51

Page Subtotals:

\$0.00

\$259,785.40

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
12/07/15	5033	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #430259	6990-00		\$85.80	\$742,064.71
12/08/15	5034	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR DECEMBER 2015, INVOICE #3306	6990-00		\$200.00	\$741,864.71
12/28/15		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,134.21	\$740,730.50
01/11/16	10	CONFIDENTIAL SETTLEMENT	JANUARY PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$745,730.50
01/12/16	5035	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #433313	6990-00		\$85.80	\$745,644.70
01/12/16	5036	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR JANUARY 2016, INVOICE #3289	6990-00		\$200.00	\$745,444.70
01/25/16	10	CONFIDENTIAL SETTLEMENT	FEBRUARY PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$750,444.70
01/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,214.84	\$749,229.86
01/29/16	10	CONFIDENTIAL SETTLEMENT	MARCH PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$754,229.86

Page Subtotals:

\$15,000.00

\$2,920.65

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
02/10/16	5037	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR FEBRUARY, 2016, INVOICE #3432	6990-00		\$200.00	\$754,029.86
02/12/16	5038	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #436377	6990-00		\$85.80	\$753,944.06
02/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,103.64	\$752,840.42
02/29/16	5039	FRANCHISE TAX BOARD P.O. BOX 942867 SACRAMENTO, CA 94267-0008	2016 FORM 541-ES FYE 12/31/16, FEIN 90-0760295	2820-00		\$800.00	\$752,040.42
03/07/16	10	CONFIDENTIAL SETTLEMENT	APRIL AND FINAL PAYMENT ON CONFIDENTIAL SETTLEMENT WITH FORMER PROFESSIONALS OF WESTMOORE	1249-00	\$5,000.00		\$757,040.42
03/08/16	5040	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR MARCH, 2016, INVOICE #3461	6990-00		\$200.00	\$756,840.42
03/11/16	5041	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #439534	6990-00		\$85.80	\$756,754.62
03/11/16	5042	JOAQUIN DE TERESA C/O CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78205-3789	REIMBURSEMENT OF FUNDS ADVANCED FOR LITIGATION RELATING TO CONFIDENTIAL SETTLEMENT	6990 -00		\$4,500.00	\$752,254.62

Page Subtotals:

\$5,000.00

\$6,975.24

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
03/11/16	5043	CASTILLO SNYDER, A PROFESSIONAL CORP. BANK OF AMERICA PLAZA, STE 1020 300 CONVENT STREET SAN ANTONIO, TX 78204-3789	PAYMENT OF CONTINGENCY FEES RELATING TO SETTLEMENTS RECEIVED 1/11/16, 1/25/16, 1/29/16 and 3/7/16 TOTALLING \$20,000	6210 -00		\$5,000.00	\$747,254.62
03/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,045.40	\$746,209.22
04/05/16	5044	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR APRIL, 2016, INVOICE #3500	6990-00		\$200.00	\$746,009.22
04/13/16	5045	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #442644	6990-00		\$85.80	\$745,923.42
04/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,118.49	\$744,804.93
05/09/16	5046	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #445814	6990-00		\$85.80	\$744,719.13
05/09/16	5047	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR MAY, 2016, INVOICE #3558	6990-00		\$200.00	\$744,519.13
05/18/16	5048	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #439534 Reversal	6990-00		(\$85.80)	\$744,604.93

Page Subtotals:

\$0.00

\$7,649.69

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
05/18/16	5048	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #439534	6990-00		\$85.80	\$744,519.13
05/18/16	5049	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #439534	6990-00		\$85.80	\$744,433.33
05/20/16	5041	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #439534 Reversal	6990-00		(\$85.80)	\$744,519.13
05/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-00		\$1,076.39	\$743,442.74
06/07/16	5050	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #448949	6990-00		\$85.80	\$743,356.94
06/07/16	5051	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR JUNE, 2016, INVOICE #3558	6990-00		\$200.00	\$743,156.94
06/27/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-00		\$1,103.88	\$742,053.06
07/07/16	5052	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGE, INVOICE #452072	6990-00		\$85.80	\$741,967.26

Page Subtotals:

\$0.00

\$2,637.67

**FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD**

Case No: 10-00849
Case Name: SEC VS. WESTMOORE ET AL

Trustee Name: David A. Gill, Receiver
Bank Name: Union Bank
Account Number/CD#: XXXXXX6623

GENERAL ACCOUNT

Taxpayer ID No: XX-XXX0295
For Period Ending: 06/17/2019

Blanket Bond (per case limit):
Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
07/14/16	5053	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES	6990-00		\$85.80	\$741,881.46
07/14/16	5054	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR JULY, 2016, INVOICE #3640	6990-00		\$200.00	\$741,681.46
07/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,066.02	\$740,615.44
08/08/16	5055	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR AUGUST, 2016, INVOICE #3691	6990-00		\$200.00	\$740,415.44
08/08/16	5056	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES	6990-00		\$85.80	\$740,329.64
08/25/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,099.37	\$739,230.27
09/06/16	5057	MATTHEW BENDER & CO., INC. 28544 NETWORK PLACE CHICAGO, IL 60673-1285	E-DISCOVERY FOR PERIOD 9/2016 THROUGH 8/2017, INVOICE #85662453	6990-00		\$3,369.00	\$735,861.27
09/26/16		Union Bank	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-00		\$1,097.29	\$734,763.98
10/07/16	5058	NEXTECH 2030 E. 4TH STREET SUITE 156 SANTA ANA, CA 92705-3940	SERVER COLOCATION SERVICES FOR SEPTEMBER AND OCTOBER, 2016, INVOICE #3734 AND 3797	6990-00		\$400.00	\$734,363.98
10/07/16	5059	FILE KEEPERS LLC 6277 E. SLAUSON AVE. COMMERCE, CA 90040	MONTHLY STORAGE CHARGES, INVOICE #461680	6990-00		\$85.80	\$734,278.18

Page Subtotals:

\$0.00

\$7,689.08